

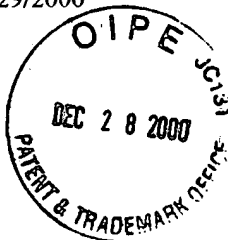


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/675,232	09/29/2000	John K. Kaltenmark	10022/038

Brinks Hofer Gilson & Lione  
One Indiana Square  
Suite 2425  
Indianapolis, IN 46204



## FORMALITIES LETTER



\*OC000000005571545\*

Date Mailed: 11/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$270.
  - \$270 for 15 total claims over 20.
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

01/03/2001 ETULU1 00000031 231925 09675232

- o The balance due by applicant is \$ 1090.

01 FC:101	710.00 OP
02 FC:103	270.00 OP
03 FC:105	20.00 CH 110.00 OP

*A copy of this notice MUST be returned with the reply.*

*Y. Robinson*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/675,232	09/29/2000	2682	0	10022/038	9	35	3

Brinks Hofer Gilson & Lione  
One Indiana Square  
Suite 2425  
Indianapolis, IN 46204



## FILING RECEIPT



\*OC000000005571544\*

Date Mailed: 11/22/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John K. Kaltenmark, St. Charles, IL ;  
Michael W. Alber, Tokyo, JAPAN;  
*William M. Gilliland, Glendale Heights, IL -*

RECEIVED

DEC 1 2000

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/156,962 10/01/1999

BRINKS, HOFER,  
GILSON & LIONE

## Foreign Applications

If Required, Foreign Filing License Granted 11/21/2000

## Title

Communication service architectures for netcentric computing systems

## Preliminary Class

455

Data entry by : ROBINSON, YOLANDA

Team : OIPE

Date: 11/22/2000



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

SECTOR 83

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on December 22, 2000.

Dean E. McConnell

Date: December 22, 2000

Attorney: Dean E. McConnell, Reg. No. 44,916



PATENT

Case No.: 10022/038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
	) Group Art Unit: 2683 (per
John K. Kaltenmark, et al.	) Filing Receipt)
	) Examiner: Not Assigned
Serial No.: 09/675,232	)
	)
Filed: September 29, 2000	)
	)
For: COMMUNICATION SERVICE	)
ARCHITECTURES FOR	)
NETCENTRIC COMPUTING	)
SYSTEMS	)

RESPONSE TO NOTICE TO FILE MISSING PARTS  
&  
REQUEST FOR CORRECTED FILING RECEIPT

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This letter is in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION - *Filing Date Granted* mailed November 22, 2000, in the above-referenced application. The following items are enclosed herewith:

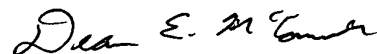
1. A copy of the NOTICE TO FILE MISSING PARTS - *Filing Date Granted*;
2. A Declaration for Patent Application executed by the inventor, John K. Kaltenmark, Michael W. Alber and William M. Gilliland, respectively, and identifying the

- above-referenced application to which it relates; and
3. Check in the amount of \$1090.00 representing the surcharge for the late filing of the Declaration for Patent Application for a large entity and the filing fee.

A red-lined version of the filing receipt for this application is also enclosed that lists William M. Gilliland as an inventor for this application. Applicants respectfully request the issuance of a corrected filing receipt listing Mr. Gilliland as an inventor.

The Commissioner is hereby authorized to charge any additional payment that is required or to credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this letter is enclosed.

Respectfully submitted,



Dean E. McConnell  
Atty. Reg. No. 44,916

Attachments: Check in the amount of \$1090.00  
Notice to File Missing Parts of Application -  
Filing Date Granted  
Red-lined Copy of Filing Receipt  
Declaration for Patent Application  
Duplicate Transmittal Letter

BRINKS HOFER GILSON & LIONE  
One Indiana Square, Suite 2425  
Indianapolis, Indiana 46204-2033  
Tel: (317) 636-0886  
Fax: (317) 634-6701